

Cover: Polymer Nanofiber Composite (Mark McClendon & Jacob Lewis, Prof. Samuel Stupp Laboratory)

An electron microscopic view of a complex material formed by molecules programmed to "self-assemble" the way in which molecules behave in living organisms. This material has been engineered to regenerate cartilage in human joints.

### DEAR MEMBERS OF THE NORTHWESTERN COMMUNITY.

At INVO, we hold one clear, unrelenting mission: to bring game-changing innovations into the public marketplace. When we remain consistently focused on that singular objective, when we make decisions with that mission top of mind and collectively pull in the same direction, we know good things happen. Innovations advance in the translational pipeline. New strategic partnerships blossom. Lives change for the better.

This past year, in fact, we celebrated two significant milestones when Exicure—a Northwestern startup leveraging Spherical Nucleic Acid (SNA) constructs to combat cancer and other threatening conditions—and Aptinyx, a biopharmaceutical company discovering and developing innovative therapies for challenging disorders of the brain and nervous system, went public. Both Exicure and Aptinyx demonstrate the power of blending groundbreaking research and a spirited entrepreneurial mindset with intentional support designed to propel development.

Exicure and Aptinyx represent our latest success stories, but we continue strengthening our entrepreneurial ecosystem so even more Northwestern-based innovations can enter the marketplace.

Throughout FY18, we doubled down on expanding our network through partnerships that expose our entrepreneurs to new ideas, people, and resources, confident that a deeper, more diverse network of partners fuels the entrepreneurial journey. To that end:

We entered a partnership with Deerfield Management to launch Lakeside Discovery, a joint venture that provides access to the capital and strategic expertise necessary to drive therapeutic translation.

We expanded our network locally and nationally. In San Francisco, we assembled Northwestern entrepreneurs, startups, and alumni together, while we also led a group of Northwestern faculty to Boston to meet with prospective investors.

We joined 19 top universities as a core partner of Osage University Partners (OUP), a venture capital fund investing exclusively in startups commercializing university research. OUP, which provides capital in addition to mentorship, access to data, and resources, has thus far invested in two Northwestern startups, Aptinyx and an advanced materials company, NuMat Technologies.

We are strengthening and enhancing our network, providing our entrepreneurs access to a valuable array of people, ideas, and resources capable of pushing innovations closer to market. As a result, we can focus on what we do best—innovation—and leverage the scale-up capacity of our partners to ensure that the most promising, most compelling ideas realize their potential.

As we move into FY19, that singular mission—to bring game-changing innovations into the public market-place—unites us and motivates us. We will continue to build our networks and strengthen the fabric of the North-western ecosystem with a resolute and determined focus.

After all, we know the good that can result from such efforts.

# Alicia Löffler

Executive Director, INVO
Associate Provost, Innovation
and New Ventures
Associate Vice President for Research

WE ARE STRENGTHENING
AND ENHANCING OUR
NETWORK, PROVIDING
OUR ENTREPRENEURS
ACCESS TO A VALUABLE
ARRAY OF PEOPLE, IDEAS,
AND RESOURCES.

210
INVENTIONS DISCLOSED

460
PATENT APPLICATIONS

AGREEMENTS EXECUTED

MILLION IN LICENSING REVENUES, DOLLARS

170
PATENTS ISSUED

STARTUPS

# NORTHWESTERN

# INVENTIVE ACTIVITY

Figure 1 illustrates invention disclosure activity since 2002. In FY18, INVO processed 210 invention disclosures.

Inventorship spans both campuses. Figure 2 represents the distribution of inventive activity by school. The McCormick School of Engineering (McC) and the Feinberg School of Medicine (FSM)

have the largest shares, followed by the Weinberg College of Arts and Sciences (WCAS).

Figure 3 shows the distribution of inventions by category. Healthcare Devices, Tools and IT had the largest share of the inventive output. It is important to note that many inventions in the

areas of chemistry, computer science, and materials are considered platform technologies with undefined markets. For example, a new software invention might find applications in the future in a variety of markets such as energy, consumer, and biomedical.

FIG. 1
INVENTION
DISCLOSURES,
2002-2018

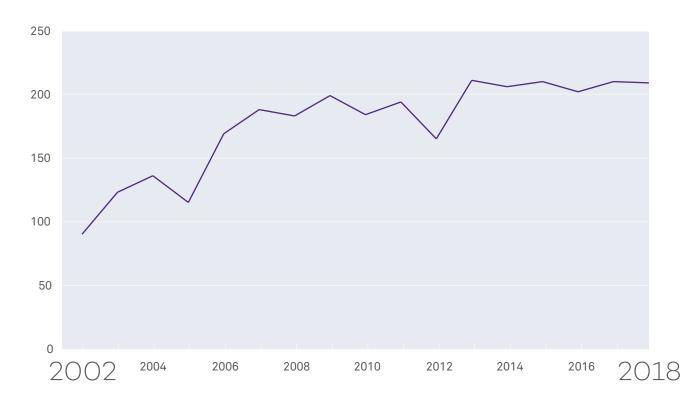


FIG. 2
INVENTIONS
BY SCHOOL

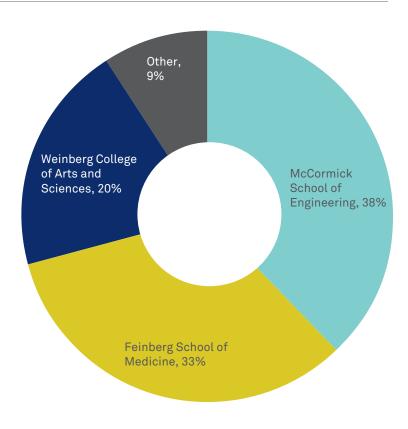
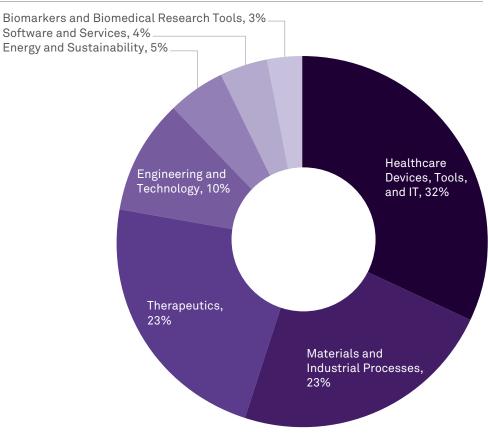


FIG. 3
INVENTIONS
BY CATEGORY





N THE NORTHWESTERN-BASED LAB OF MILAN MRKSICH (McCormick, Weinberg, Feinberg), researchers developed technology for high-throughput biochemical experiments, effectively enabling scientists to conduct 10,000 tests in the same time it once took to run a handful.

In another corner of the Northwestern ecosystem, meanwhile, Mike Jewett (McCormick) continued his work with proteins, which can address important questions in developing the next generation of therapeutics.

and defining the future of synthetic biology.

"Together with an ambitious group of students, we're creating science that's far greater than the sum of two individual parts," Mrksich says.

At Northwestern, where a culture of collaborative research thrives, University leaders and scholars jointly recognize that the complex, interdisciplinary nature of today's problems requires an interdisciplinary approach to problem solving. Leveraging resources, support, and networks across the University's

dress shunt failure and tested designs on the bench and, later, with patients.

With promising results in hand, Rogers and Mike Marasco of Northwestern's Farley Center for Entrepreneurship and Innovation worked with students in a newly launched NUvention Wearables course to explore the technology's commercial potential. Subsequently entering VentureCat, Northwestern's student startup competition, Rhaeos captured top honors in the health and life sciences division. That win has sparked new collaborative opportunities and provided funding that has helped the Rhaeos

"One special aspect of working at Northwestern is that you can find partners from different fields whose work can transform your own research. Scientific discovery is a challenging process, but when you collaborate with motivated colleagues and work at the intersection of different fields, exciting things happen."—Milan Mrksich, Henry Wade Rogers Professor of Biomedical Engineering, Professor of Chemistry, and Professor of Cell and Molecular Biology

And by marrying their respective endeavors, Mrksich and Jewett are now closing in on life-saving discoveries.

Spurred by a grant from the U.S. Defense Threat Reduction Agency, the researchers glued their two labs together and set out to make more effective protein treatments. Using sophisticated instrumentation and robotics, the two labs are now testing thousands of conditions, identifying more efficient solutions,

landscape, Northwestern's enterprising minds are nurturing their innovative possibilities into reality.

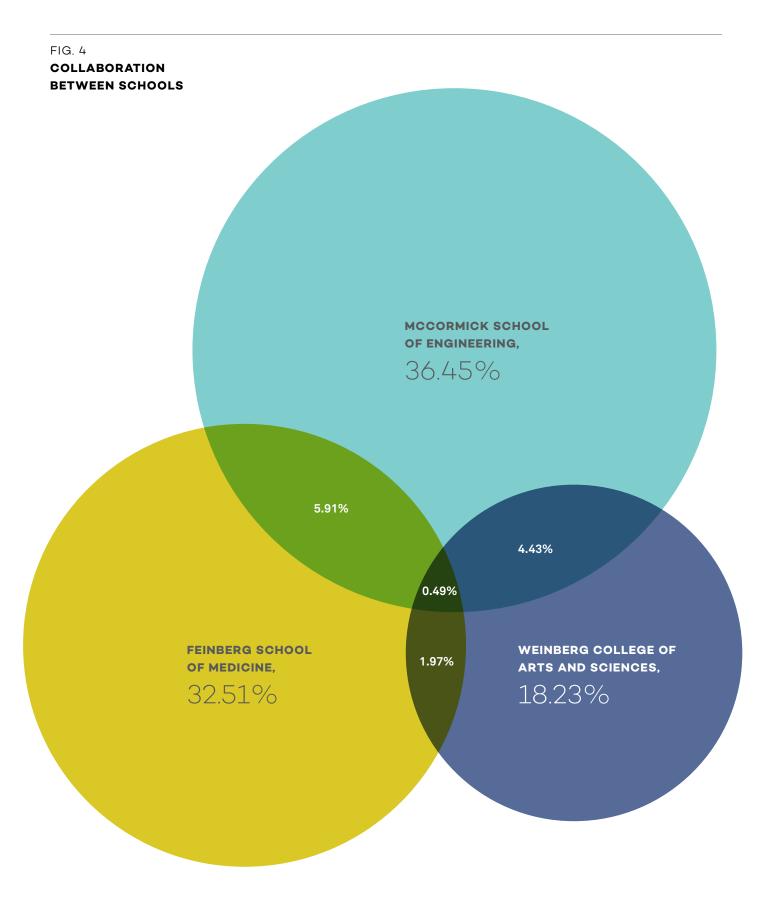
Consider John Rogers (McCormick, Feinberg) and Rhaeos, a startup developing wearable biosensors capable of diagnosing ventricular shunt malfunction. Rhaeos' core technology for blood flow mapping initially emerged from Rogers' lab. Alongside a group of Feinberg neurosurgeons, Rogers' team developed prototypes to ad-

team finalize device designs, select an outsourced manufacturing flow, and pursue additional patient testing.

"Throughout this journey, we've leveraged connections to McCormick, Feinberg, Kellogg, INVO, and The Garage, and that interdisciplinary network has been critical to our progress," confirms Siddharth Krishnan, a PhD candidate in Rogers' lab who worked closely on the project.

McCormick Prof. John Rogers and INVO Invention Associate Anne-Isabelle Henry discuss critical electronic components for the Rhaeos platform.

OUR SINGULAR
MISSION—TO BRING
GAME-CHANGING
INNOVATION TO THE
PUBLIC—UNITES AND
MOTIVATES US.



# WE THRIVE AT THE

# CROSSROADS OF ACADEMIC RIGOR AND ENTREPRENEURSHIP

Figures 5, 6, and 7 illustrate invention activity within each school.



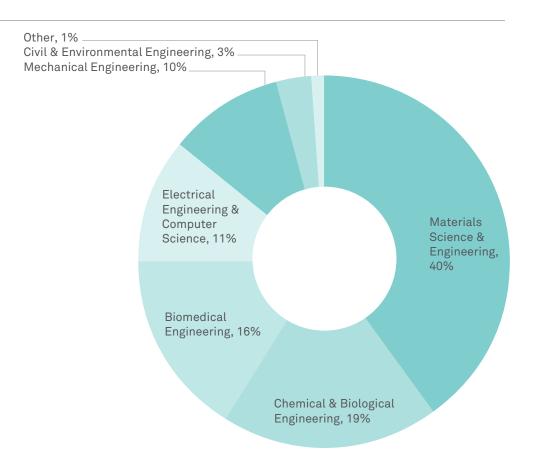


FIG. 6
FEINBERG SCHOOL
OF MEDICINE
INVENTIONS
BY DEPARTMENT

Dermatology, 5% Physical Med & Rehab, 5% Preventive Medicine, 5% Radiology, 4% Cell & Molecular Biology, 3% Pharmacology, 3% Physiology, 3% Psych & Behavioral Science, 3% Biochemistry & Molecular Genetics, 2% Ophthalmology, 2% Other, 2% Otolaryngology, 2% Pathology, 2% Transplant Surgery, 2%

Emergency
Medicine, 1%
Management
Information Sys, 1%
Medical Social
Sciences, 1%
Obstetrics and
Gynecology, 1%
Otolaryngology/Dental
Surgery, 1%
Nephrology, 1%
Physical Therapy &
Human Movement
Sciences, 1%

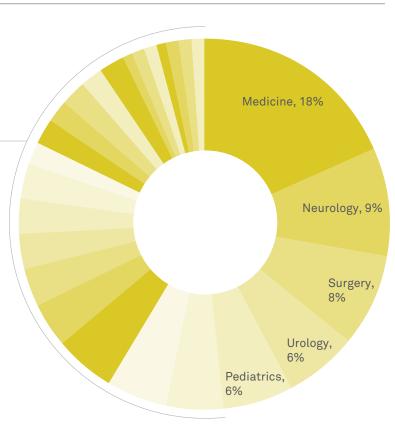
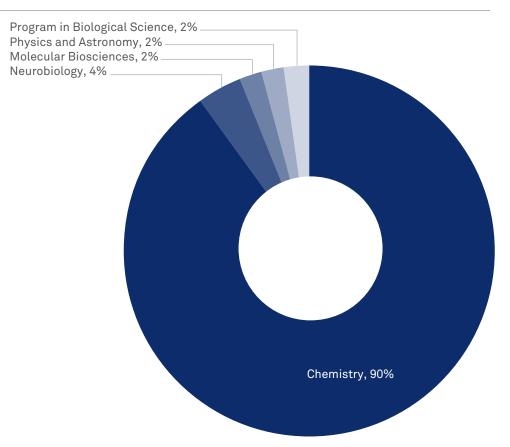


FIG. 7
WEINBERG
COLLEGE OF ARTS
AND SCIENCES
INVENTIONS
BY DEPARTMENT





S ALUMNA SARAH
AHMAD '18 (MCCORMICK, WEINBERG)
worked to bring her
mobile app startup to
life, she admits she felt overwhelmed

But entrepreneurial endeavors aren't meant to be easy, the 2018 Northwestern alum reminded herself.

and uncomfortable at times.

Having initially crafted plans for Hot-Plate—an app that helps diners discover the best dish at restaurants—during an entrepreneurship class early in her junior year, Ahmad spent much of her and anxiety along the way. She became comfortable amid discomfort.

"Every minute creating HotPlate was worthwhile and valuable," says Ahmad, who launched the mobile app in October 2017.

That's all part of the plan at The Garage, where the mission is to build billion-dollar people armed with the resiliency, emotional intelligence, and creativity to be successful in any professional path.

"We're a people incubator," confirms Melissa Kaufman, The Garage's executive director.

Though Ahmad has since left Hot-Plate for a full-time position at Oakland-based LifeLink, she acknowledges the allure of entrepreneurship lingers and that any such future adventure will be powered by an undergraduate experience at The Garage that sharpened skills, heightened confidence, and fueled a more enterprising spirit.

"Starting your own company can be a frightening, daunting process, but I learned so much about myself and what I'm capable of," says Ahmad, who majored in Entrepreneurial Design and Chemical Technologies as well as

"We've had some amazing students come through The Garage with compelling ideas that they strengthened and modified again and again. The value those individuals bring isn't necessarily the end result, the potential billion-dollar company, but rather the entrepreneurial drive and the collaborative spirit they bring to our community and then to all their subsequent endeavors. That's a billion-dollar person."—Melissa Kaufman, Executive Director, The Garage

final two undergraduate years at The Garage, Northwestern's student startup hub, transforming HotPlate from concept to reality. That journey required fearlessness and resolve, perseverance and pragmatism.

Ahmad learned how to motivate a team, talk tech, and translate user feedback into a more dynamic solution, overcoming moments of doubt, technical hurdles,

Emboldened by a 24/7 co-working space filled with like-minded students from diverse, global backgrounds and various academic disciplines as well as more than 200 professional mentors sharing their battle-tested entrepreneurial insights, students at The Garage weather the ebbs and flows of the startup existence and emerge better, more well-rounded individuals for enduring such trials.

Economics. "When I think of what the future might bring, I know I'm more prepared to be successful and much less afraid of the unknown because of my time at The Garage."

Inside The Garage's Workspace, undergraduate students collaborate during a Medill class focused on the Chicago startup scene.

# **OPENING DOORS TO OPPORTUNITY**

S NORTHWESTERN
UNIVERSITY'S ENTREPRENEURIAL

ecosystem has expanded ed over the last decade,

there has been a corresponding push to create a dynamic environment that inspires relationship building, fosters skill development, and introduces the University's enterprising souls to career-defining opportunities. nology transfer, IP law, industry R&D, and consulting.

"The INVO internship is the single best preparation for a career in patent law or technology transfer that graduate students or postdocs can get at Northwestern. The resulting hands-on experience in the fields of patent prosecution and technology licensing is valued significantly by future employers, much more than 'that last' scientific paper," says

The first two INVOForward cohorts—fall 2017 and spring 2018 sessions focused on medical devices and health IT, respectively—provided participants a valuable, hands-on experience to strengthen their products' market fit, bolster their value proposition, and better strategize the path to commercialization.

"The INVOForward process allowed us to hear the voices of different stake-

"Given rising interest in the innovation and commercialization lifecycle and how entrepreneurship can advance the world, INVO is mindful of creating robust and unique opportunities that allow individuals across Northwestern to strengthen themselves, their ideas, and their futures."—Sonia Kim, Managing Director of Marketing and Commercialization Education, INVO

Since January 2015, for example, more than 30 scientists—graduate students, postdocs, and others from across the Northwestern landscape—have completed INVO's Practicum program.

Designed for individuals intrigued by careers beyond academic research, the six-month program places scientists in an on-campus internship at INVO, where they are trained to assess Northwestern technologies for their patentability, market potential, and commercialization risks. Expanding knowledge and expertise beyond their particular domains, interns develop a more diverse skill set that positions them for careers in fields such as tech-

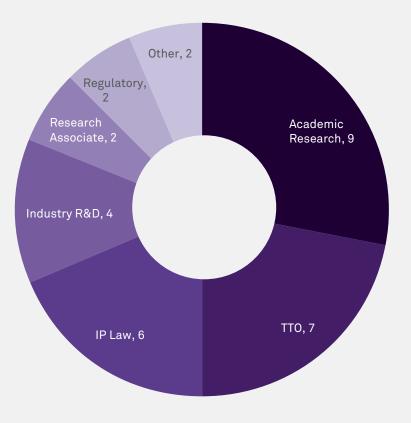
Linda Foit, a former intern now working as a patent agent at Fox Rothschild in New York.

This past summer, meanwhile, INVO completed its second session of INVOForward, an intensive, experiential learning program that guides Northwestern's biomedical entrepreneurs through the often-overlooked, yet critical customer discovery process. Modeled after NIH's I-Corps program, teams spend four weeks interviewing dozens of stakeholders, researching pricing and regulatory strategy, and exploring the market landscape before pitching a "Shark Tank"-like panel.

holders and customers, and made us think about potential next steps in this process," says Amisha Wallia (Feinberg), who participated in INVOForward to advance the Do-It-Yourself Diabetes Toolkit she developed in collaboration with Northwestern colleagues.

Three former INVO Practicum interns—(*l. to r.*) Jose Martinez (former PhD student in Prof. Michael Wasielewski's lab), Linda Foit (former post-doc in Prof. Shad Thaxton's lab) and Seoan Huh (former PhD student in Prof. CJ Heckman's lab)—are now at Wilson Sonsini Goodrich & Rosati as an IP Analyst, Fox Rothschild as a Patent Agent, and Pfizer as a Regulatory Affairs Associate, respectively.

FIG. 8
POST PRACTICUM
JOB SECTORS









BY PROMOTING
DIVERSITY IN OUR
ECOSYSTEM MORE
NORTHWESTERN
INNOVATIONS CAN
ENTER THE MARKET.

FIGURES 9, 10, 11 represent the gender distribution of tenured and tenureeligible faculty and the percentage of whom have disclosed inventions during FY 2018.

Weinberg College of Arts and Sciences percentages represent faculty from the departments of Chemistry, Molecular Biosciences, Neurobiology and Psychology.

FIG. 9
MCCORMICK SCHOOL OF ENGINEERING
INVENTORS AMONG TENURED AND
TENURE-ELIGIBLE FACULTY

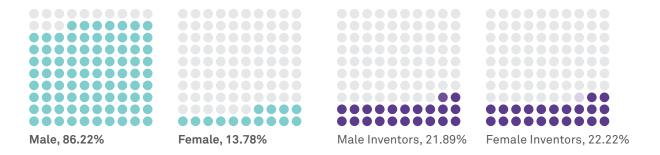


FIG. 10
FEINBERG SCHOOL OF MEDICINE
INVENTORS AMONG TENURED AND
TENURE-ELIGIBLE FACULTY

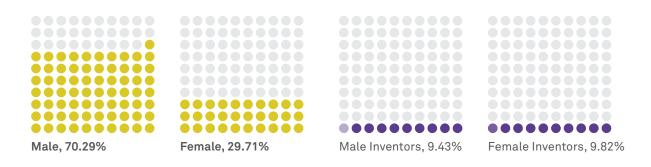
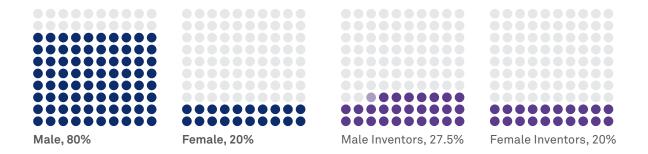


FIG. 11
WEINBERG COLLEGE OF ARTS AND
SCIENCES INVENTORS AMONG TENURED
AND TENURE-ELIGIBLE FACULTY



# **AUGMENTING RESOURCES, AMPLIFYING POSSIBILITIES**

ROM CARRYING OUT
CRITICAL EXPERIMENTS and raising sufficient capital to conducting
clinical trials and passing
regulatory hurdles, the path to commercialization in the biomedical space remains littered with obstacles that derail even the most encouraging ideas.

Determined to steer its best innovations to market, INVO has helped craft new strategic partnerships and programs by both the University investigators and a team of drug discovery experts from Lakeside who are collectively dedicated to advancing these therapeutic projects."

NIH Centers for Accelerated Innovations (NCAI). In mid-2017, Northwestern joined the Ohio NCAI, an ambitious collaborative venture of more than two-dozen high impact medical research institutions. With a mix of funding and expert assistance in early

nology closer to the clinic," Wertheim says.

NewCures. Northwestern's NewCures accelerator program facilitates the development of potential therapeutics from Northwestern labs by helping University researchers craft a strategic development plan that includes defining key pre-clinical experiments for proof-of-concept validation and preparing assets to attract external partners and private-sector investment.

"Knowing the difficulties in translating biomedical innovations, we're connecting Northwestern researchers to as many levels of resources as possible, whether that means providing access to expertise or links to funding that will help a compelling technology continue its progress toward commercialization."—Dimitra Georganopoulou, Director of Commercialization, INVO

designed to accelerate translation and drive improved patient care.

Lakeside Discovery. In summer 2018, INVO spearheaded Northwestern's partnership with New York City-based Deerfield Management. In the resulting joint venture, Lakeside Discovery, Deerfield has pledged up to \$65 million as well as in-depth tactical support to help push selected Northwestern projects toward an Investigational New Drugready phase in an expedited manner.

"This is a true partnership that extends beyond funding," says Amie Phinney, director of alliance management for Lakeside Discovery at INVO. "Projects that enter into the alliance are guided technology development, NCAI propels the translation of scientific discoveries into medical devices, therapeutics, and health IT technologies that improve patient health in cardiovascular, lung, blood, and sleep disorders.

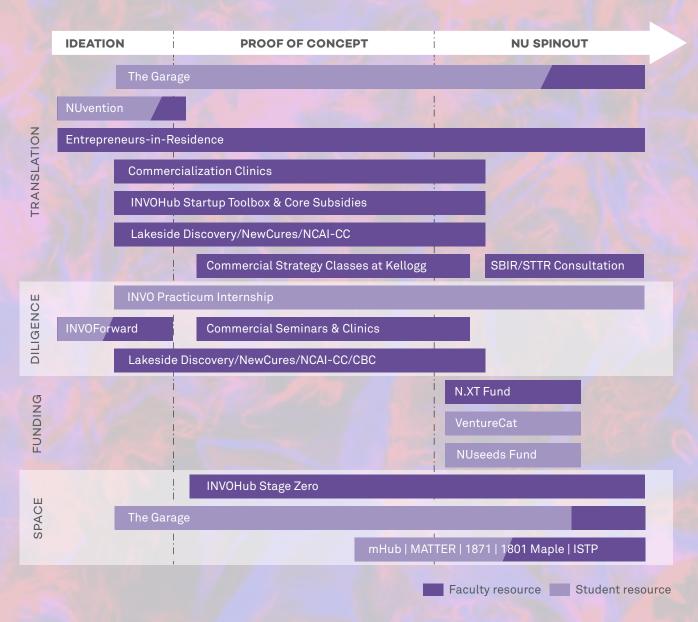
During the last three rounds, three different Northwestern teams received funding to pursue innovative technologies, including the interdisciplinary team of Dr. Jason Wertheim (Feinberg) and Guillermo Ameer (McCormick) that is developing a targeted antithrombotic agent.

"The NCAI grant is providing us critical help as we develop some early-stage translational data that brings our techPowered by NewCures' support, Dr. Sarki Abdulkadir (Feinberg) is developing small molecule inhibitor drugs that target the major cancer protein MYC, including one particularly promising and novel lead.

"I would not have been able to easily access the wisdom of industry experts or the resources to do high-level medicinal chemistry optimization of our lead without NewCures' support," Dr. Abdulkadir says.

Chaos (Wei Ji, Prof. Samuel Stupp Laboratory) A confocal fluorescent microscopic image of injectable composite hydrogel composed of collagen particles and bioactive peptide amphiphile nanofibers which can act as an artificial extracellular matrix and induce potent new bone formation.

# COMMERCIALIZATION RESOURCES AT NORTHWESTERN UNIVERSITY



# PATENTS

Patent filing remained stable from FY17. Figure 12 shows patents filed in FY18 per school. Patent filing is consistent with the invention disclosure activity reported in Figure 2. Figure 13 illustrates the breakout of patents filed in FY18. Figure 14 illustrates that patent filings span many disciplines and markets.

Provisional patents: Approximately 60% to 70% of all invention disclosures are filed as provisional patents; approximately 50%–60% are converted into non-provisional patents within a year. Filing a provisional patent application before filing a Utility application presents several advantages:

- Relatively inexpensive, and allows the inventor to spend one year gathering more data resulting in a stronger patent application;
- Allows INVO to conduct a more in depth commercial assessment of the invention and identification of potential licensees; and delays the formal filing date, which results in a later patent expiration date.

Non-Provisional (Utility) patent applications: The filing of a Utility patent starts the official examination process with the USPTO to determine if the invention is patentable. The USPTO review of a patent application can take several years.

PCT applications: A PCT is an international treaty with more than 145 Contracting States. The PCT makes it possible to seek patent protection for an invention simultaneously in a large number of countries by filing a single "international" patent. A PCT application must be followed up within 18 months by entering into national or regional phases to more patents. Foreign prosecutions are very expensive. INVO files in specific countries (National Phase) only when there is a licensee for the patent.

# Continuing patent applications (CIP):

These are patent applications that follow and claim priority to an earlier filed patent application.

**EPO Validation:** Granted European patents that are in the process of validation in individual states.

**Divisional patent applications:** Patent applications with claims that were divided out of the original filed application and which have to be re-submitted as a separate application.

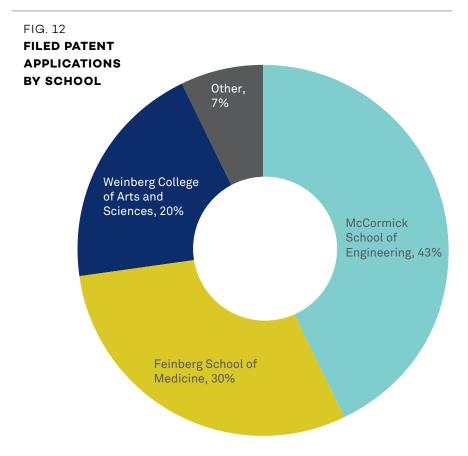


FIG. 13
FILED PATENT
APPLICATIONS
BY TYPE

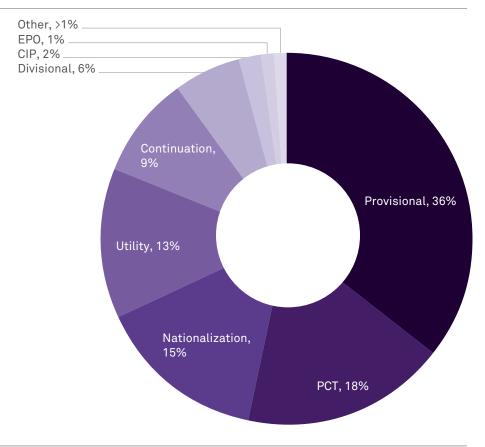
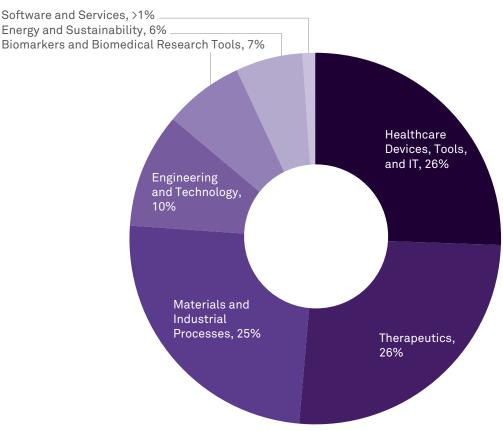
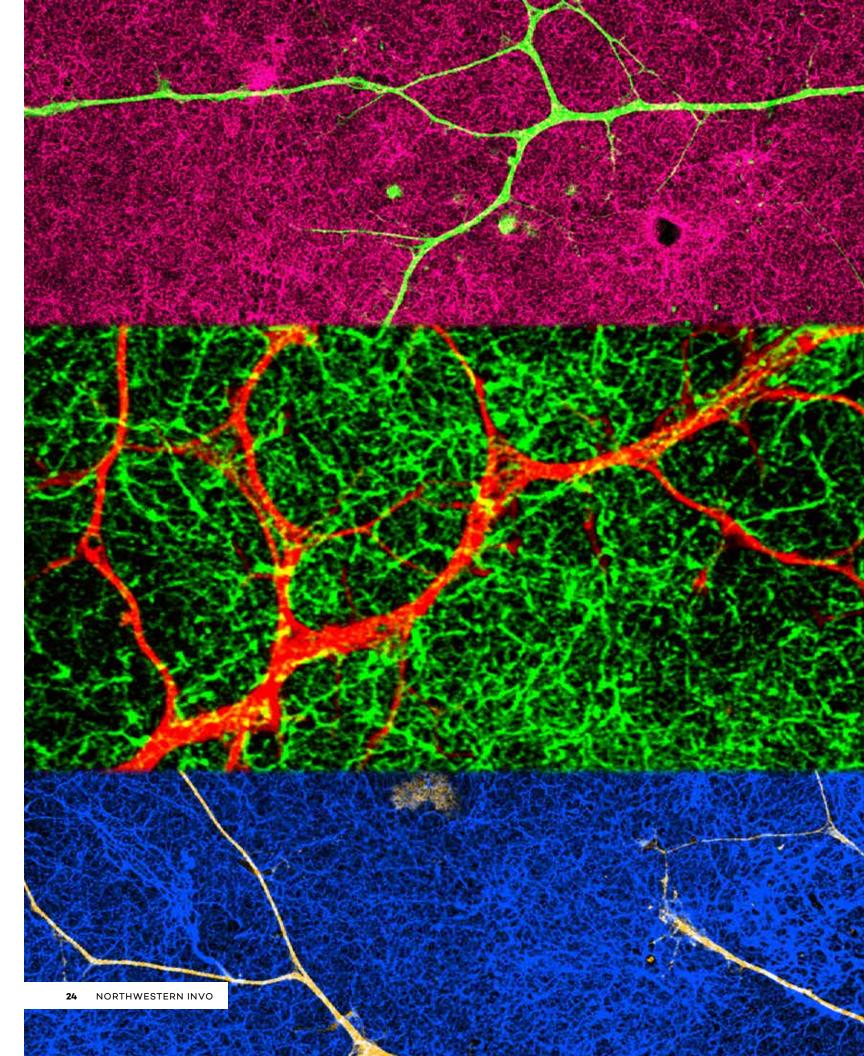


FIG. 14
ISSUED PATENT
APPLICATIONS
BY CATEGORY









# INNOVATION AT THE INTERSECTIONS



ty. Fearlessly challenging the status quo, courageously envisioning ideas that can bring positive change to the world, Northwestern's scholars, scientists, and students imagine a brighter future. Northwestern supports such daring effort. We help innovators find mentors and collaborators, access funding and labs, and collect the knowledge and inspiration necessary to propel ideas

ternal as well as external mentors who help shape, strengthen, and shepherd ideas to market.

Student Groups Student groups such as EPIC, Kellogg Tech Club, the Innovation & Design Association, and the Entrepreneurship and Venture Capital Club energize the campus' entrepreneurial spirit and drive the next generation of game-changing innovators.

Funding Northwestern supports accelerator programs like Wildfire for students as well as NewCures and portunities, and resources designed to fuel entrepreneurial progress.

Academics From Farley Center classes in innovation and entrepreneurship to the pioneering MMM program that pairs a Kellogg MBA with an M.S. in Design Innovation, inventive coursework strengthens students' business fundamentals and sharpens their entrepreneurial edge.

Spaces and Labs Places across the Northwestern landscape—The Garage, the 3D Printing and Rapid Prototyping Lab, INVOHub, and the Phil Kotler In-

"The Innovation & Entrepreneurship Ecosystem is a digital hub that showcases Northwestern's collective efforts and vision across all schools, all programs, and all buildings, helping our stakeholders to navigate the rich array of available resources and to immerse themselves in a dynamic network focused on bringing innovations to market."

—Alicia Löffler, Executive Director, INVO

into the marketplace. One opportunity inspires the next. One resource feeds another, Innovation is in our DNA.

Mentoring From INVOForward to idea-driving commercialization clinics and extracurriculars like the Zell Fellows Program and Design for America, Northwestern's entrepreneurial ecosystem pairs campus innovators with in-

Lakeside Discovery for faculty, while investment agents like NUseeds and the N.XT Fund power compelling startups toward commercialization.

**Events** A diverse array of campus events ranging from Elevator Pitch Night to the annual Lewis Landsberg Research Day exposes innovators to continuous learning, collaborative op-

novation Lab among them—provide entrepreneurs space to accomplish tasks, advance ideas, and inject credibility into their efforts.

Neurites Growing on an Artificial Matrix (Zaida Alvarez PInto, Prof. Samuel Stupp Laboratory) A spectral illumination microscopic image depicting human induced pluripotent stem cells-derived motor neurons cultured on different peptide amphiphile supramolecular nanofibers. Cells attach, grow and maturate for over two months on these materials, offering an in vitro platform that provides insights into disease mechanisms and treatment strategies for motor neuron-related diseases such as ALS.

# WHEN WE PULL IN THE SAME DIRECTION GOOD THINGS HAPPEN.

# NORTHWESTERN STARTUPS RAISED

# MORE THAN \$170 MILLION

IN FY18

NU startups raised over \$9.5M in SBIR/STTR awards. The average from 2007-2016 was \$5.8M. In addition, Northwestern raised up to \$65M in commercialization partnerships. (The average over the last decade for NU startups was \$6.2M/year.)

<sup>\*</sup> The Garage has many startups companies, in FY18 Northwestern has financial interest in two.

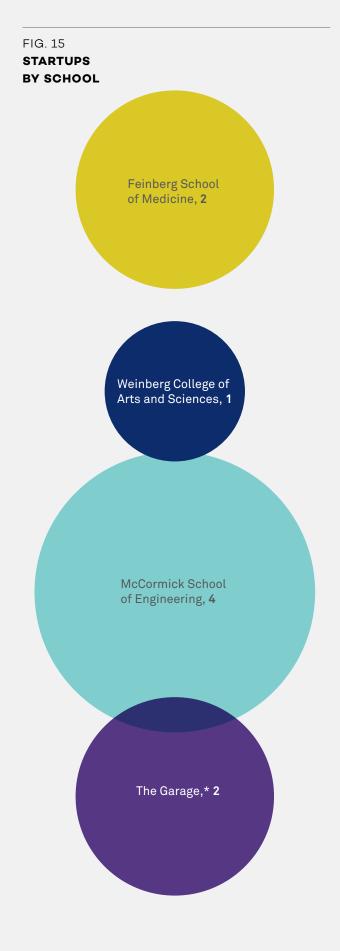
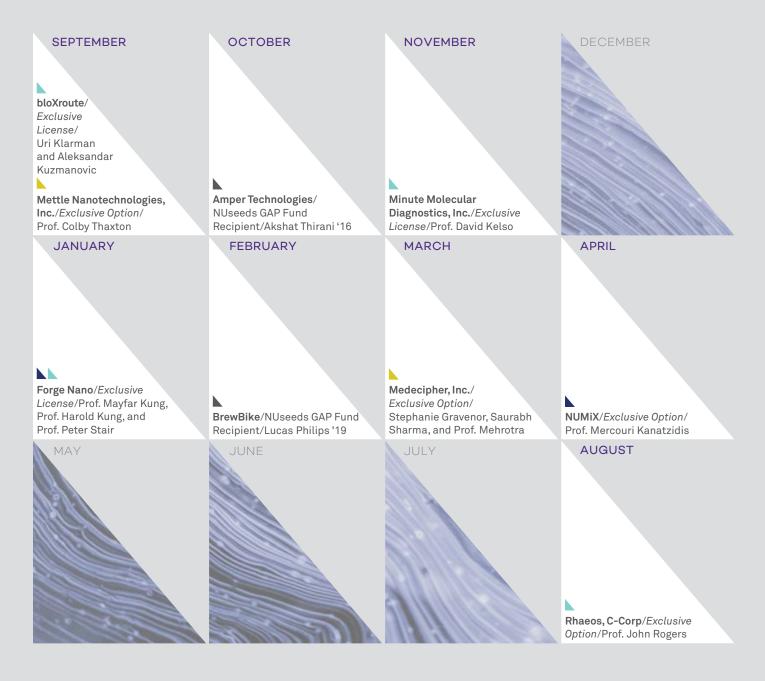
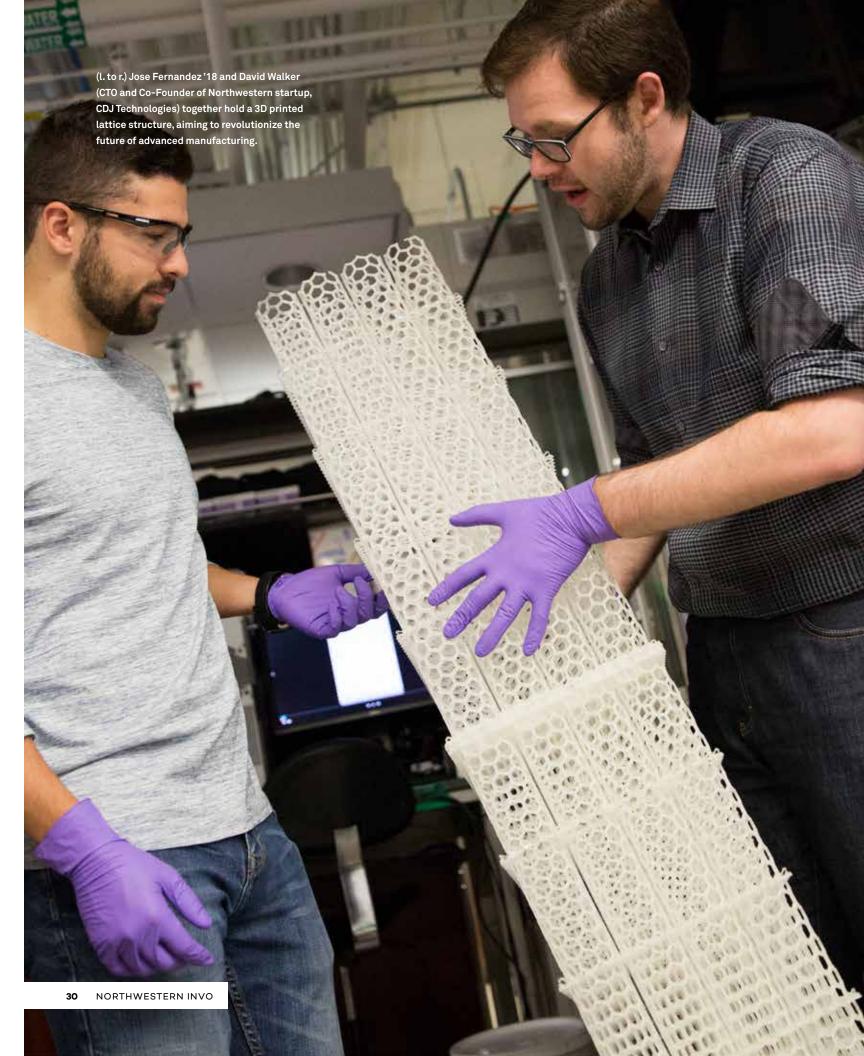


FIG. 16
2018 STARTUPS
TIMELINE



- Feinberg School of Medicine
- ▲ Weinberg College of Arts and Sciences
- McCormick School of Engineering
- ▲ The Garage



# APPENDIX

# BIOMARKERS AND BIOMEDICAL RESEARCH TOOLS PIPELINE

BIOMARKERS \ 2 NUCLEIC ACID \ 3 ANTIBODY \ 4 CELL LINE \ 5 ANIMAL MODEL \ 6 MISCELLANEOUS

• Available for Licensing

• Non-Exclusive License/Option

• Exclusive License/Option

# 1-BIOMARKERS

Perinucleolar Compartment as a Cancer Marker

Marker for Chronic Pelvic Pain I

PNC Cancer Diagnostic (non-breast cancer)

MCP-1 as a Target for Chronic Prostatitis/ Chronic Pelvic Pain Syndrome

Biomarkers for PTSD and Depression

Biomarker for Colitis

Lamin B1 is a biomarker for replicative senescence

Fluorescent Sensors for Zinc

Use of Maspin as an Anti-ROS Scavenger Against Cell Proliferation, Inflammation, and Aging

A Genetic Marker for ALS

Genetic markers in GRB10-DDC region (or 7p12.2) predict Treatment Resistant Schizophrenia in Caucasians

PTSD Blood Test

Depression and Treatment Response Predictor

Genetic markers to predict Treatment Response of Treatment resistant Schizophrenic Caucasians

Serotonin2c Receptor Polymorphisms for Antipsychotic Drug Response In Schizophrenia

Molecular Control over Exosomes for Isolation, Quantification, Tracking, and Therapy

Detection of Ab reactivity towards deamidated proinsulin for risk prediction and diagnosis of type 1 diabetes

A pre-school biomarker for literacy

Biomarkers to Predict Treatment Response to Antipsychotic Drugs

Blood biomarker analysis for early detection, treatment response and disease

progression of breast cancer

Biomarker for Early Stage Cancers

Biomarker for Female Egg Quality

Biomarkers for Prostate Disease

Imaging for Steroid

Cardiac Stress Test with MRI

Biomarkers associated with treatment response in malignant glioma patients with high levels of STAT3 signaling

mRNA Expression Signatures in Liver Transplant Rejection and Graft Injury

Molecular Signature in the Peripheral Blood for Sub-Clinical Acute Kidney Transplant Rejection

Neural Biomarkers in Nasal Exhaled Breath Lipid Denaturation as a Marker and Therapeutic Target of Ovarian Cancer Stem Cell Platform-And Sample-Specific Molecular Signatures of Kidney Transplant Rejection

Biological Marker For Auditory Processing (Bio-MAP)

Marker for Neuromuscular Disorders

Neurodegenerative Disease Biomarkers

# 2-NUCLEIC ACID

Rapid Detection of an Anthrax Biomarker by Surface-Enhanced Raman Spectroscopy

Clock gene cDNA

Timeless gene cDNA

pAN1: ElectroTfm of Clostridium

pHT plasmids

Enhanced Gene Expression for Gene Therapy Applications

RNA-directed DNA Cleavage & Gene Editing Copolymer Networks for Separating DNA

Separation Mechanism for Microchannel Electrophoresis

Nuclear Lamins Expression Vector hsp70.1pr-luc Plasmid

### 3-ANTIBODY

Metabolic Antibody Discovery and Development

Anti-macrophage monoclonal antibodies (CD31, CD87, CD15)

HSF1 and HSF2 antibodies, rat

PGSL-1, mouse

alpha 3 laminin, mouse

hu Tau C-term, mouse

Tau Tyr18 nitrosylated, mouse

Antibody for Tubulointerstitial Nephritis

TOC-1 mouse antibody

Scarpulla Lab Antibodies - 6 Antibodies

BRAP Antibody

Influenza M2 protein, mouse

CD 13 antibody mouse

HSP-70 antibodies mouse

Vascular endothelial cell Ag, m

mtHSP-70, BiP/grp 78 mouse

Importin beta1, mouse

Tau Isoforms, mouse

Tau N Terminus, mouse

TNT1: Tau PAD region, mouse

### 4-CELL LINE

Stem Cell Therapy to Generate

Cholinergic Neurons

Retinal Muller Cell line

MM.1 Myeloma cell lines

E. coli from human prostate

S.cerevisiae H4S47C

HSV-2 333/Gal and HSV-1 KOS/tk12

# 1 BIOMARKERS \ 2 NUCLEIC ACID \ 3 ANTIBODY \ 4 CELL LINE \ 5 ANIMAL MODEL \ 6 MISCELLANEOUS

• Available for Licensing

• Non-Exclusive License/Option

• Exclusive License/Option

# 5-ANIMAL MODEL

Triple transgenic mice for triggering inducible hepatocyte apoptosis

Dyrk1a conditional knockout mice

Mutant Mouse Line C57BL/6J-Slc2a4twiggy

Mutant Mouse Line C57BL/6J-Fahswingshift

SOD Tg mice

Mt Clock Tg mice

MLCK 210 KO mice

Knockout Mouse Model Of Cognitive Deficits

BMP4 Tg mice: FOP

Per2 Luciferase Tg mice

Transgenic Mouse for Amyloid Pathogenesis

Clock Tg mice: diabetes

hSOD1G93A-UeGFPTg mice: ALS

hTAAR Tg mice

# 6-MISCELLANEOUS

Method for Screening P. Aeruginosa Strains

Detergent-free membrane solubilization

Marker for Chronic Pelvic Pain Syndrome Hydraulically Actuated Patch Clamp Electrode System

Assessment of Oocyte Quality by Inorganic Signatures

Tracking Reporter Gene

Small Molecule Antiviral Therapy

Mechanism-Based Small Molecule Cross-Linkers of HECT E3 Ubiquitin Ligase - Substrate Pairs

Cell-Free Yeast Protein Synthesis

Development of an Ex Vivo Female Reproductive Tract in a 3D Microphysiologic Setting

Method for Making Ribosomes

Irreversible tethering with drug-like fragments to the protein surfaces

Ex Vivo Female Reproductive System

Non-toxic cell staining probe

Substrate replenishment and byproduct removal improve yeast cell-free protein synthesis

Proof of Concept of Engineering a Yeast Receptor to Detect New Peptide Ligands

Integrated microfluidic tissue culture system for use with female reproductive tissues

Making Tethered Ribosomes

Irreversible inhibitors of Nedd4-1 polyubiquitination

UbiFlu-Novel Class of Fluorescent Probes to Screen for Inhibitors/Activators of HECT E3, RBR E3 and NEL Ubiquitin Ligases

Methods for Improved in vitro Protein Synthesis with Proteins Containing Non Standard Amino Acids

Acinetobacter Baumannii Transposon Library

Methods for Activating Natural Energy Metabolism for Improving Yeast Cell-Free Protein Synthesis

Method of in vitro ribosome synthesis and evolution

Novel Photocrosslinking Reagents to Map Protein Protein Interfaces in Vitro

Cell-free protein synthesis driven metabolic engineering (CFPS-ME)

Neuronal cell line with suppressed endogenous sodium current

Olfactory based virtual reality with sub-second timescale control of odor stimulus

A Highly Productive One-Pot System for

the Incorporation of Non-Standard Amino Acids into Cell-Free Synthesized Proteins

A method to create a library of electrophilic compounds for screening using virtual docking and experimental approaches

Nanopatterned Extracellular Matrices Enable Cell-Based Assays with a Mass Spectrometric Readout

Two gRNA method for homologous recombination-based gene targeting

Methods for Improved Preservation of ECM Proteins During Detergent-Based Decellularization of Organs

Reverse Transfection Technique

Scalable Cell Sorting via Motility

Enhancing Gene Silencing by RNAi

3D Transfected Cell Arrays

High Throughput Transcription Profiling

Raman Spectroscopy for Anthrax Detection

**Exosome Targeting** 

Scaffolds for Artificial Ovary

Cell-free glycoprotein synthesis (CFGpS) in prokaryotic cell lysates enriched with glycosylation machinery

An Elevated-Pressure, Freeze-Thaw Method For Lipsome Gas Encapsulation

Proteasome adaptors - degradons

Raman Biosensor for Multianalyte Detection
Partition Layer for Raman Nanobiosensor

Elastic Backscattering Spectroscopic Microscopy

Markers of Neoplasia in the Proximal Colon Nanocytological and Molecular Analysis of Fecal Colonocytes for Colon Cancer Screening

Faster and more efficient two step sequence specific nucleic acid capture

# HEALTHCARE DEVICES, TOOLS, AND IT PIPELINE

1 CONCEPT  $\setminus$  2 LAB PROTOTYPE  $\setminus$  3 COMMERCIAL PROTOTYPE  $\setminus$  4 HUMAN TESTING  $\setminus$  5 APPROVAL & "MARKET"

• Available for Licensing

• Non-Exclusive License/Option

• Exclusive License/Option

# 1-CONCEPT

Left Ventricular Apex Surgical Technology Nanostructures for Alzheimer's Diagnosis Hybrid Prosthetic Vacuum Pump for Transfemoral Amputees

Hemodialysis Needle with a Safety Tip Central Dialysis Catheter Avoiding Fibrin Sheath Formation

Adjustable Banding Device for AVF
Impedance planimetry for Assessment of
Cervical Ripening during induction of labor
Materials that Promote Bone Regeneration
A Novel Medical Device that Differentiates

Stroke from Acute Balance Disorders Ambulatory blood pressure Device

Method to Measure Perfusion and Leakage in a Single Neurological Scan

Novel iPSC Derived Endothelial Cell Line for Translational Research

Catheter for Gene Therapy

# **2—LAB PROTOTYPE**

Low Power Cochlear Implant

Electronic Biochip System

Nanofabricated Glucose Sensor [SWCNT Glucose Monitor]

**Anthrax Detection** 

Macromolecular MRI Contrast Agents

Micro Drug Delivery Device

Female Fertility Test

Biocompatible Hydrogels

Extra-Strength Hydrogel Adhesives

Ex Vivo Female Reproductive System

**UTI** Management

Biodegradable Drug Delivery

Peptide Conjugated MRI Contrast Agent

Photodetector for Infrared Imaging

Partition Layer for Raman Nanobiosensor

First In Vivo Surface-Enhanced Raman Glucose Sensor

Analysis of Multiplexed Bead-Based Assays

Protein-Based Contrast Agents for MRI Equilibrium-Point Prosthetic And Orthotic

Equilibrium-Point Prosthetic And Orthotic Ankle-Foot Devices

Imaging & Therapeutic Nanoconjugates
Method For Preparing High Aspect Ratio

Peptide Amphiphile Fibers

Parylene membranes for drug delivery Multimodal T1-T2 MRI Contrast Agents

Cartilage Coupled PeptidePolymers

Cell Therapy for Diabetes

Liquid Cast Biodegradable Drug Delivering Arterial Stent

Sealants for Fetal Membrane Repair

Nanodiamond Conjugates

Hybrid Prosthetic Leg

App for Movement Disorders

Novel Chalco-Halides for Imaging

Materials for X-Ray & Gamma Ray Detection

Nanoparticles for Diagnosis and Therapy pH Responsive Self-Healing Hydrogels

Left Atrial Appendage Occluder Device

Optical Coherence Photoacoustic

Microscopy

iSOCT

Soluble Membrane Protein Libraries in

AF Electrogram Analytics Software

At-Home Test to Predict Ovarian Reserve

and Onset of Menopause

MRE Passive Driver

IVC Filter Removal

Structured Illumination Microscopy

Evaluating Impact of Oxidative Stress on

AF Electrograms

Heavy Metals in Dried Blood Spots

Myoelectric Computer Interface for Rehabilitation

Targeted therapy for the prevention of restenosis

Scar-Free Tissue Regeneration

Gas Sensor for Smart Chest Tube Drainage

Optical Microscopy Technique to characterize tissue and material

Bioscaffolds for Replacement Ovaries

High Precision Diagnosis of ADHD Based

on Functional Neuroimaging Data

Cardiac Tissue Ablation

Extracellular Matrix with Anticoagulant Properties for Tissue Engineering

3D printed Intraocular lens

3D printing of a customizable

accommodating intraocular lens

Mobile Opioid Dosing simulator

3D Printing of Endovascular Stents

Thermoresponsive Cell Adhesive Biore-

sorbable Dressing

Atrial Fibrillation Diagnostic Software

Naso-Seal Device

A Biological Marker for Concussion

A Heavy Metal Blood Collection Card for Screening Newborns and Children

Printing 4D Composite Scaffolds for Bone Generation

Microfluidic platelet bioreactor

Agility Trainer

# HEALTHCARE DEVICES, TOOLS, AND IT PIPELINE (CONT.)

# 1 CONCEPT $\setminus$ 2 LAB PROTOTYPE $\setminus$ 3 COMMERCIAL PROTOTYPE $\setminus$ 4 HUMAN TESTING $\setminus$ 5 APPROVAL & "MARKET"

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• Non-Exclusive License/Option

• Exclusive License/Option

Resorbable Wireless Bone Stimulator Lung-inspired microfluidic platelet bioreactor NICU2HOME smartphone application Self-assembled Nanovirus Normalization of MRI for Imaging of Gene **Expression Signatures** Probability Maps Predicting Healthcare Needs for Geographic Regions Portable Raman Spectroscopy and Related Methods for Monitoring Drugs Engineered Red Blood Cell-based Biosensors **BDNF Mimetic Peptide Amphiphiles** Optical and Acoustic Imaging Soft Materials for Bioprinting A Non-Invasive Diagnostics Platform for Measuring Glucose Levels in Saliva Detecting walking aids with sensors Quantification of Cerebral Perfusion with

Auditory Test

MRI-Perfusion and Diffusion Mismatch

SNR Improvements for Multi-Slice MRI

Raman Biosensor for Multianalyte
Detection

Virtual Electrophysiologic Test

Northwestern Anagram Test (NAT)
Northwestern Assessment of Verb
Inflection (NAVI)

Lipid Nanoparticles for Measuring Chronic and Acute Response to Exercise
Biomimetic High Density Lipoprotein
Nanoparticles for Human Performance
Motorized Software: Controlled Calibrator
Central Line Insertion Training Curriculum
CA Diagnostic with Microscopy

Hearing Aid Interface
HIV Diagnostics
Medical Adhesives
Colorectal Cancer Screening Device
Bedside Wound Pulse Lavage
Point of Care Diagnostic Tool
Childress Ankle
Bedside Pulse Lavage Project
Modifications
Sticky Flare
Device for Isolating an Analyte from a Sample
Implanted Surgical Film to Reduce Post

Endoscopic CA Diagnostic

High Throughput Partial Wave Spectroscopic Microscopy Wearable for Ambulatory Blood Pressure Monitoring

**Surgical Complications** 

**RF Ablation Probe** 

### 3-COMMERCIAL PROTOTYPE

pH-Sensitive Drug Delivery Polymers

Brain Wave Processing to Enhance Sleep
Synthetic Antigen Compositions to Detect
antiphosphatidylethanolamine Antibodies
Automated fMRI for Clinic
Northwestern Assessment of Verbs &
Sentences (NAVS)
Northwestern Naming Battery (NNB)
Point of Care Protein Diagnostics
Polymers for Vascular Disease
Pre-Free Colon CA Screening
Robotic Arm for Orthopedic Surgery
Vocal Cord Medialization

Radio Frequency Soft Tissue Ablation System Noise Based Coding in Cochlear Implants Advanced retinal blood flow measurement

App to Help with Depression and Anxiety
A "Skin-like" Wearable Sensors for Sweat
Loss Analysis

### 4-HUMAN TESTING

Rehabilitation Robotics

Adaptable Ankle Foot Prosthesis

Diaphragm-based Hybrid Prosthetic

Vacuum Pump for Transfemoral Amputees

Treatment of Underlying Forms (TUF)

Method and User Interface for Hearing Aid Control

Silica Polymer Pen Lithography

# 5-APPROVAL & "MARKET"

Surgical Cement Mixer Apparatus
Particles For Detecting Intracellular Targets
3D Surgical Suture
Esophageal Panometry
Panometry

# THERAPEUTICS PIPELINE

1 NEW TARGETS ackslash 2 HIT TO LEAD ackslash 3 LEAD OPTIMIZATION ackslash 4 PRECLINICAL

5 CLINICAL TRIALS  $\setminus$  6 APPROVAL  $\setminus$  7 PLATFORM/MOA/METHOD

• Available for Licensing

• Non-Exclusive License/Option

• Exclusive License/Option

# **1-NEW TARGETS**

Scar-Free Tissue Regeneration
Hydrogel Wound Dressing With Cu Ions
Thermoresponsive Adhesive Dressing
Exosomes: Cholesterol Modulation
Diagnosis of Major Depressive Disorder
Tricyclic carbogenic molecules as
anticancer agents

Nitric Oxide Releasing High Density Lipoprotein-like Nanoparticles

# 2-HIT TO LEAD

Ion Channel Manipulation: Parkinson's Stem Cell Signaling Molecules for Cancer Therapies

Peptide Vaccine for Lupus

Kinase Inhibitors

b lactamase Inhibitors: Antibiotics

CD154 Trimer Stabilization: Immunity

**MLCK Inhibitors** 

Epstein-Barr Virus Inhibitors

Multiple Sclerosis Therapy

Compounds: Neurologic Disorders

Malaria Prophylaxis

Maspin: Bone Disorders

Immunotherapy: Macular Degeneration

Megakaryocytic Leukemia Treatment

Plaque Digestion: Cardiovascular

Nanostructures for Medulloblastoma and

other CNS cancers

AMPA Receptor Antagonists: Neurologic

Diseases

Therapeutic for Chronic Pelvic Pain

Syndrome

Sensitization to Steroids

Bladder Regeneration

**GLUT Antagonists: Cancer 1** 

Bladder Regeneration

Small Molecules against ALS

Female Fertility Treatment

GLUT Antagonists Cancer 2

Nitric Oxide Synthase Inhibitors

**HIV Therapeutics** 

Ras and Rap1 Protease for Cancer

Treatment Applications

**CXCR4 Modulators** 

Kinase Inhibitors: Cancer

Small Molecule Inhibitors of C-Myc

FFAR2 Agonists: Type 2 Diabetes

Nanomolecules for the Treatment of

Inflammatory Bowel Diseases

Inhibitors: Leukemia

**Antibiotic-Coated Nanoparticles** 

Gene Therapy: Anti-Depression

Megamolecule Synthetic Antibodies

Inhibitors for Triple Negative Breast Cancer

Aromatic heterocycles Inhibitors of Mnk1 and 2

Molecules to Treat Inflammation

Protective Agonists to counter adverse

cardiac remodeling

Novel RNA Therapeutic Candidate for

Fibrotic Diseases

p38MAPK Modulators of CNS Pathology

and Cognition

PLK4 inhibitor for pediatric embryonal

tumors

Targeted Drug Delivery Using Extracellular

Vesicles

Statin for Hearing Loss Prevention &

Therapy

Soft Materials for Bioprinting

Chromatin Therapy to Sensitize CA Cells

S.epidermidis Lipotechoic Acid (SELTA)

for immune modulation

Treatment of Levodopa-Induced

Dyskinesias

Potential New Modulator of Angiogenesis

# 3-LEAD OPTIMIZATION

Peptide Amphiphiles for Neurite Outgrowth

Peptides: Cancer

p53 Reactivators: Cancer

Stem Cell Factor to Treat Stroke

Triggered Release Arsenic: Cancer

Gene Silencing Enhancers

Liposomes for Bioactive Gas Delivery and

Methodology

Heparin-Binding Peptide Amphiphile

Heparin-Binding Peptide Amphiphile for

Cardiac Conditions

Inhibitors Of The Epstein-Barr Virus

Mediated Fusion And Entry Process

Thermoresponsive Adhesive Dressing

Inhibitors Of The Mevalonate Pathway Of

Streptococcus Pneumoniae

Haem Peroxidase Functions As A Natural

RNAi Inhibitor

Peptides for PEDF

Numonafide: Cancer Therapy

Flavanones & Chromanones: Cancer

Urinary Tract Infection Vaccine

Preventing Scar Formation

Preventing Symptoms of Urinary Tract Infection

Preventing UTI Symptoms

Nanoparticulate Arsenic Platinum Drugs

# 1 NEW TARGETS $\setminus$ 2 HIT TO LEAD $\setminus$ 3 LEAD OPTIMIZATION $\setminus$ 4 PRECLINICAL

# 5 CLINICAL TRIALS \ 6 APPROVAL \ 7 PLATFORM/MOA/METHOD

• Available for Licensing

• Non-Exclusive License/Option

• Exclusive License/Option

Small Molecules: Parkinson's Disease

**Neuroprotective Therapeutics** 

Human Melanoma

NOS Targeting: Neurodegeneration

Pro-Drugs: Streptococcus

Arsenoplatins for Cancer Treatment

Sirtuin Inhibitors

Maspin Protein Mimics for Cancer

Treatment

Combination Therapy for Treatment

of Cancer

FGF23 Normalizing Methods

Herpes Virus Vaccine and Oncolytic Vectors

Bacterial NOS Inhibitors as Antibiotics

Amino-alkoxyester-linked peptides with anti-angiogenic and anti-cancer activity

High density lipoprotein functionalized magnetic nanostructures (HDL-MNS) as theranostic agents for cardiovascular

diseases

Inactivators of Toxoplasma gondii Ornithine Aminotransferase for Toxoplasmosis and

Malaria

Using Toxic Short Interfering Rnas as a Tool to Kill Cancer Cells

Treating Prader-Willi Syndrome and Seizure Disorders

Autophagy-inducing small molecule to treat amyloid deposition and memory loss

Targeting of MLL1 proteolytic cleavage by taspase1

Potential treatment of corneal

vascularization

Metarrestin-Metastasis (autophagy)

Development of GLUT4 Selective Inhibitors for Cancer Therapy

Polysomes (Drug Delivery)

Using Triplet Repeat siRNAs to Selectively

Kill Cancer Cells

Potent Inhibitors of Nitric Oxide Production for Treating Neurodegenerative Disorders

Small Molecules against Hepatocellular Carcinoma

Novel Active ACE2 Fragments

Inhibitors of SOD1 Aggregation for the Treatment of ALS

Neurodegenerative Disease Therapy

Use of Mitotically Inactivated Embryonic Stem Cells for Tissue Repair

G Protein Inhibitors: Cardiovascular

3crx98-Metastasis

Peptide-coupled Nanoparticles to Treat Autoimmune Disease, Transplant Rejection & Allergy

Method to Prevent Allograft Rejection

Combination Therapy for Cardiac Arrhythmias

Peptides: Immune tolerance

TGFb Inhibitor Transgene

Gene Therapy: Atrial Fibrillation

Combinations of NMDAR Modulating Compounds

**Inhibiting Cancer Cell Motility** 

Carbohydrate Enhanced Nanoparticles for Immune Modulation

GABA Aminotransferase Inactivator for Addiction and Hepatocellular Carcinoma

Gaucher's Disease (Glucoceribrosidase)

MW-150-Alzheimers (p38 kinase)

4-PRECLINICAL

NOS Portfolio Inhibitors

Glycosides for Cancer

Chronic pelvic pain vaccine

Nanodiamonds for Imaging and Drug Delivery

E. Coli Isolated from Human Prostate

Self-Assembling Nanovirus

Scaffolds for nNOS Inhibition

MAPK Compounds: CNS Disorders

Medical Food

Inflammation Modulator

HDL-like Nanoparticles: Infection

Metarrestin-Akzheimers, Drug Tolerance

Super Elongation Complex Disruptors

diminish transcriptional rates

GABA Analogues: Hepatocellular Cancer

**Topical Wound Treatment** 

Glucocerebrosidase Modulators

Treatment of dermatologic conditions

Alzheimer Immunotherapy-Oligomers of Amyloid beta

Alzheimer Immunotherapy-ADDLs

Nanoparticle Supported Lipid Bi-Layer Bio-Mimetic Structures

Gold Nanoparticles for Templated Nanomaterials

Compositions and Methods for

Antigen-Specific Tolerance

Organ Transplantation

9-ING-41-Cancer

Nanoparticle Supported Lipid Bi-Layer

Bio-Mimetic Structures

Tau Monoclonal Antibodies

Small Molecules to Block SOD Aggregation to treat ALS

5-CLINICAL TRIALS

Method to Control Dopaminergic Neuron Pacemaking

# THERAPEUTICS PIPELINE (CONT.)

# 1 NEW TARGETS ackslash 2 HIT TO LEAD ackslash 3 LEAD OPTIMIZATION ackslash 4 PRECLINICAL

5 CLINICAL TRIALS  $\setminus$  6 APPROVAL  $\setminus$  7 PLATFORM/MOA/METHOD

• Available for Licensing

• Non-Exclusive License/Option

• Exclusive License/Option

Therapeutic Methods in Insulin Production Isradipine: Parkinsons Lead Compounds For Neurodegeneration and Neuroinflammation Small Molecules for Tourettes Syndrome GLYX-13: Depression and Pain Gene Regulation with NP-Nucleic Acid Gold Nanoparticles For Therapeutics Gene Regulations with Polyvalent siR-NA-Nanoparticle Conjugates Antisense Molecules for Wound Healing CPP-115, irreversible inhibitors of aminobutyric acid aminotransferase Cellular Delivery of Small and Macro-Molecules with Liposomal Spherical **Nucleic Acids** 

# 6-APPROVAL

Lyrica: Fibromyalgia

# 7-PLATFORM/MOA/METHOD

Polymers for pH Sensitive and Targeted Delivery of Anticancer Drug

Modular Extracellular Sensors for Cellbased Biosensors

Sirt1 Gene Therapy For Improved Wound Healing

Antibacterials

Composable Mammalian Elements of Transcription (COMET)

Engineering Customized Cellular Functions

Novel intravitreal injection treatment for glaucoma

# ENERGY AND SUSTAINABILITY PIPELINE

1 RESEARCH ackslash 2 RESEARCH VALIDATION ackslash 3 COMMERCIAL VALIDATION ackslash 4 MARKET

• Available for Licensing

• Non-Exclusive License/Option

• Exclusive License/Option

# 1-RESEARCH

Photocatalyst

Epoxidation of Unsaturated Hydrocarbons

Solar Cell Coating

Solid State Solar Cell

All-Carbon Counter Electrode

Carbon Nanoparticle for Energy Storage

Cathode for Li Ion Batteries

Doped SnSe single crystals with ultralow thermal conductivity and high thermoelectric performance

Deriving hydrogen from bioalcohols in water without producing greenhouse gases

Protective cathode coatings for lithium-ion batteries

Li-rich layered oxides for cathode active materials

# 2-RESEARCH VALIDATION

Methane/Nat Gas-Powered SOFC

Silver Cathode Li-Ion Batteries for Medical Devices

Integrated Solid Oxide Fuel Cells

Novel Batteries for Medical Devices

SMOFC Battery Cathode

Removal of Heavy Metal and Radioactive Pollutants from Water

Microporous Polymeric Organic

Frameworks

Solid Oxide Fuel Cells

Algorithm for Electric Charging Station Placement

Heavy Metal Removal and Gas Separation

Graphitized Li-Ion Batteries

MOFs for Silver Capture

Water Detoxification Method

Nanocomposites for Energy Storage

Lead-Free Solar Cells

Tin-Based 'Perovskites' for Solar Cell Production

Synthesis of Porous Amorphous Metal Sulfide Ion Exchangers

New Ion Exchange Column Technology for Water Purification

New Semiconductor Materials for Room

Temperature Radiation Detection

Porous Cyclodestrin Polymers

PbTe Composite Material for Thermoelectric Devices

New Class of Molecular Iodosalts for Use in Next Generation Solar Cells

Organic Photovoltaics w/Nickel Oxide

PAH Scavenger System (ExBox)

Crumpled Graphene Coated Si Nanoparticles

# 3-COMMERCIAL VALIDATION

Photocatalytic Composite

Cathode for Li Ion Batteries

Gas-absorbing Metal Organic Frameworks

Si Nanoparticles for Rechargable Lithium

Batteries

Gold Isolation Method

GLi ion exchange materials from brines and seawater

# 4-MARKET

Novel Recycling through Solid State Shear Pulverization

# ENGINEERING AND TECHNOLOGY PIPELINE

LRESEARCH  $\,\setminus\,$  2 RESEARCH VALIDATION  $\,\setminus\,$  3 COMMERCIAL VALIDATION  $\,\setminus\,$  4 MARKE:

• Available for Licensing

• Non-Exclusive License/Option

• Exclusive License/Option

### 1-RESEARCH

Silver Cathode for Lithium Batteries

Zirconium-Oxide Tunnel Barriers

Two Qubit Gate

Molecular Quantum Interference and Electronic Devices

Improved Nonlinear Optic Glassy Fiber and Thin Film

Bipolar Magnetic Junction

Broad Frequency Electric Field Sensor

Ultralow Power Carbon Nanotube Logic Circuits

# Doped Tin Selenium Single Crystals

New Class of Molecular Iodosalts for Use in Next Generation Solar Cells

Tin Based Perovskites for Solar Cell Production

High-Speed Magnetic Memory Device

Dielectrostrictive Sensors for Shear Stress Measurement

Complementary VT-Drop Ambipolar Carbon Nanotube Logic

Printable Graphene Inked Supercapacitors Gold Nanoparticle for Enhanced Optics and Optoelectronics

# 2-RESEARCH VALIDATION

Efficient Thin-Film Synthesis

p-type Transparent Conductors

Thiophene-based materials for optoelectronics

Scanning Near-Field Thermoelastic Acoustic Holography

Low Voltage Organic Electro-optics

Atomic Force Electroluminescence Microscopy

Waveguide Modulators

Magnetic Field Sensors

Bridge Enhanced Nanoscale Impedance Microscopy

Microscopy for Current Flow

Hot Pressing Method for Transistors

Printable Dielectrics for Electronic Devices

High Energy Density Nanocomposites

Transparent Conducting Graphene-Silica
Thin Films

2D Nanomaterial Sorting

Organic Transparent Electrodes

Multifunctional Nanocomposites

Polycrystal Memory Foam for Energy Applications

Integrated On-Chip Thermocouple Array

TEM Nanostructure Characterization Device

Chalco-Halides as Semiconductor Detectors

Metal Oxide Thin Films

Low-Cost Semiconducting Single-Walled Nanotubes

Magnetic Diode Based Programmable Logic

### Organic Ferroelectronics

Transverse Thermoelectrics

Spin-Diode Logic Family

Emitter-Coupled Spin Transistor Logic

Contactless Probe for Detecting Buried Conducting Layers

Planar Photonic Jet

All-Carbon Spin Logic

Gate tunable p-n heterojunction diode

Computing Logic Family

Novel Protective Polymers for Circuitry

Novel Separator for Electicity Storage

Novel Logic Family w/Nanowire Transistors

# Lead-Free Solar Cells

Deducing Charge Density Gradients in Doped Semiconductors

Antiambipolar Heterojunctions from Semiconductors

Gate Tunable Nanoscale Memristors

Tracking Circuit for Hardware Security and Reconfiguration

Using Radio Signals to Improve WiFi Connectivity

Wireless Devices for Virtual Reality Applications

High Speed/Low Dose Multi-Objective Autonomous Scanning Materials Imaging

Portable cell-free molecular sensing platform

Repurposing Blu-Ray Discs for Photon Management

Triple-Stripline Method for Tin-Film Conductivity Characterization

Electron Microscope Imaging Software System for Crystalline Materials

Additive manufacturing of inverse-designed devices for the control of electromagnetic radiation

Arrays of Wireless Sensors and Actuators for Virtual Reality Applications

SAVI: Synthetic Apertures for High Resolution Visible Imaging

Method for Time of Flight Imaging

# 3-COMMERCIAL VALIDATION

Nanoscale Self-Assembled Dielectrics
Organic Electro-Optic Chromophores

# ENGINEERING AND TECHNOLOGY PIPELINE (CONT.

# RESEARCH $\setminus$ 2 RESEARCH VALIDATION $\setminus$ 3 COMMERCIAL VALIDATION $\setminus$ 4 MARKET

Available for Licensing

• Non-Exclusive License/Option

• Exclusive License/Option

Superlattice Dielectrics
Hybrid Thin-Film Transistors
Silole-Containing Polymers
Conductive Tin and Zinc-Doped Thin Films
Self-Assembled Organic Nanodielectircs
Transparent Nanowire Transistors
Organic Photovoltaics w/Nickel Oxide
Barium Titanate Waveguides
Transparent Conducting Oxides [Nanoscale Doping for Transparent Conducting Oxides]
Stretchable Si Integrated Circuits
Organic Semiconductors
3D Printing of Nanocomposites
Wireless Skin Hydration Sensor with
Methods and Uses

# 4-MARKET

Electrostatis Multitouch Haptic Display
High Conductivity Graphene Inks
Graphene Ink for Gravure Printing

# MATERIALS AND INDUSTRIAL PROCESSES PIPELINE

# $oxed{1}$ RESEARCH $igigigigigar{1}$ RESEARCH $igigigigar{1}$ $igigigar{1}$ MARKET

• Available for Licensing

• Non-Exclusive License/Option

• Exclusive License/Option

# 1-RESEARCH

Self-assembly of Oligo Amphiphiles
Controlling Charge Injection in OLEDs
Proximity Sensor Based on Cantilever
Nanoscale Self-Assembling Organic
Dielectrics

Superlattice Dielectrics

Novel Organic Self-Assembled Nanodielectrics

Production of Substituted Imidazole Molecules

Ductile Magnesium Alloys

Synthesis of 2-Aryl Indoles

Polysulfide compounds for environmental remediation

Novel X-Ray and X-Ray Detector Material

High Temperature Steel

Efficient, Versatile and Non-Toxic Nanoparticle Thin Film Processing Method

Semiconductor for Hard Radiation Detection at Room Temperature

Purification Method of Semiconductors for

Hard Radiation Detection Materials

Anti-Microbial Supramolecular Structures

A Cut-and-Paste Approach to 3D Architectures with Graphene Oxide Paper

Crumpled Graphene Balls

# 2—RESEARCH VALIDATION

Carbon Nanotubes for Photocatalysis
Organic Photovoltaic Cells
Polycrystal Memory Foam for Energy
Applications

Polymeric Organic Frameworks

Materials for X-ray and Gamma Ray Detec-

tion-II

Metal Oxide Thin-Film Electronics Synthesis of Layered Metal Sulfide Ion-Exchangers

p-type Transparent Conductors

Nano Fountain Pen

Nanoscale Subsurface Imaging

Arrays for X-Ray Optics Lamination

Electro-Optic Modulator

Mesoscale Metallic Pyramids With

Nanoscale Tips

Hole Array Films

High Energy Density Nanocomposites

Ceramic Composite

Magnetic Shape-Memory Foam

Laser-Assisted Oxide Nanopatterning

Atomic Force Photovoltaic Microscopy

2D Nanomaterial Sorting

Maskless Nano-Patterning

Nanoscale Doping for Transparent Conducting Oxides

Flash Reduction of Graphic Oxide to Graphene

Multifunctional Nanocomposites

Fluorescent Imaging of Graphene-based

Isolation of single-walled nanotubes

Graphene Concentration Method
Enhanced Strength Cement Composites

Low-Cost Semiconducting Single-Walled

Nanoparticle Sorting Method

Nanotubes

Graphene-Titania Nanocomposite Photocatalysts

Novel MOF based on Azolium Salts

Water Processable Graphene Oxide

Whisker Sensor

Single Photon Detectors & Imagers

Adhesive Hydrogels

Plant Polyphenoal Coatings & Methods

Laser-Induced Plasma Micromachining (LIPMM)

Zinc Sensor for MRI

Gas-Phase Deposition in MOF

Tri-Pyramid Robot

Synthesis of Priviledged 7-Membered

Ring Molecules

Extra Strength Magnesium Alloys

DOPA-Melanin Films

Thickness Sorting of 2D Nanomaterials

Hydrogel Wound Dressing With Controlled Ion Release Properties

In Situ Photocatalytic and Thermocatalytic Activities

Nanodiamond Particle Complexes

Optimized Gamma-Prime Strengthened Austenitic TRIP Steel

ECM Scaffolds for Pluripotent-Derived

Films & Foams for Solvent Filtration

Method to Prepare 2D InSe Semiconductor

**Substrate Independent Coatings** 

Si Nanoparticles for Batteries

Gold Isolation Method

Adhesive Polymer Coating

Nanoporous Materials

Route to Diazeperopyrenium Dication

# 3-COMMERCIAL VALIDATION

Polymeric Blends formed by Solid State Shear Pulverization

Method of Epitaxial Growth of MgO

# MATERIALS AND INDUSTRIAL PROCESSES PIPELINE (CONT)

# RESEARCH ackslash 2 RESEARCH VALIDATION ackslash 3 COMMERCIAL VALIDATION RESEARCH ackslash 4 MARKET

Double-Sided Incremental Flanging

• Available for Licensing

• Non-Exclusive License/Option

• Exclusive License/Option

Organic Silicate Matrices for Remediation
Novel Materials for Polymer Light Emitting Diodes
Controlling Charge Injection in OLEDs
Crosslinkable Polymer Dielectrics
Unconventional Electro-optic Chromophores
Conductive Tin and Zinc-Doped Thin Films
Organic Semiconductor Materials
Hydrogels for improved tissue graft survival
Graphene Oxide Paper
Transparent Nanowire Transistors
Improved Power Conversion For Organic Photovoltaics
Micro-Textured Surfaces
CNT Reinforced Cement
Organic Photovoltaic Cells
Anti-microbial hydrogel coatings
Micro-surface Texturing System
Kinetic Separation of Olefin/Paraffin

with MOF

Forming

Nanotube Reinforced Cement

Novel Ni-Based Alloys

Reshape of Optics Mirrors
Intense Pulsed Light Annealing of

Graphene Inks

and Rods

Fuel Cell Fabrication

Fabrication of Metal Composite Thin Films

High Accuracy Double-Sided Incremental

Majority Graphene 3D-Printed Composites Stress Manipulated Coating for Figure

Rapid 3D Printing Process for Solid Oxide

Global Thermal Control of Additive
Manufacturing
Method to Improve Paint Production with
Titanium Oxide
Self Assembled Bioadhesives
Electron-Blocking Layer For Improved
Organic Photovoltaics
Silole-Containing Polymers
Semi-conducting Nanotubes
Graphite Nanoplatelet Dispersion
Nanoporous Materials
Nanocomposite Film and Paper Production
Al Superalloys for High Temperatures
Gradient Spray Coating Polymer Pen Arrays

# 4-MARKET

High Conductivity Graphene Inks
Graphene Ink for Gravure Printing
Graphene Ink for Screen Printing
Advanced Alloy Materials by Integrated
Computational Materials Engineering
CD-MOFs for Storage of Active Ingredients

Flexible Incremental Shaping of Tubes

# SOFTWARE AND SERVICES PIPELINE

 $oxed{1}$  RESEARCH  $igigigigigar{1}$  2 RESEARCH VALIDATION  $igigigigigar{1}$  MARKET

• Available for Licensing

• Non-Exclusive License/Option

• Exclusive License/Option

### 1-RESEARCH

Rapid On-Off Division Duplex for Wireless

# 2-RESEARCH VALIDATION

Automatic Camera and Display

Interactive Chef

Minimum Area Retiming

Indoor Localization Through Visual Cues

MINT (Materials Interface)

Top Down Proteomics Software Libraries

Fourier-domain Mobility Spectrum Analysis (FMSA)

Net Theater

A Method for Acquiring Intentionally Limited Data and the Machine Learning Approach to Reconstruct It

System and Method for Multi User Two-Way Ranging

VirtualCar: Computational simulation of self-propelling automobiles for aerodynamic design

Private Data Networks: Federated Databases for Mutually Distrustful Data Providers

Radio Resource Management in Large Wireless Networks

Communication System for Rotorcrafts

Protection for IP piracy

My Dream Team: Social Networking Platform to Build Project Teams

Street-Level IP Geolocation Technology

# 3-COMMERCIAL VALIDATION

MATLAB-to-C Translator

Finding Trending Topics on Social Media

REPET (REpeating Pattern Extraction Technique)

Twitter Profiling Method

Social Media-Based Preference
Determination and Recommendation

Real-time Privacy Leakage Detection

Fidelity Software

Sequential Action Control for Predictive Optimal Control

SAFE (Situational Awareness for Events): A Data Visualization System

6DoS (Six Degrees of Separation): Understanding Your Network

Real-Time Patient Volume Predictor for More Accurate Hospital Staffing

Intelligent Audio Software

# 4-MARKET

A Method to Search Audio Synthesizers Using Vocal Imitation

AppShield: Data Access for Enterprise Mobility Management

Northwestern Assessment of Verb Inflection (NAVI)

A Scalable Trustless Blockchain Distribution Network

Artificial Intelligence & Writing

Administrative Network Manager

Advanced Encryption System

Algorithm to Produce High Performance Steel & Alloys

Digital Language Lab

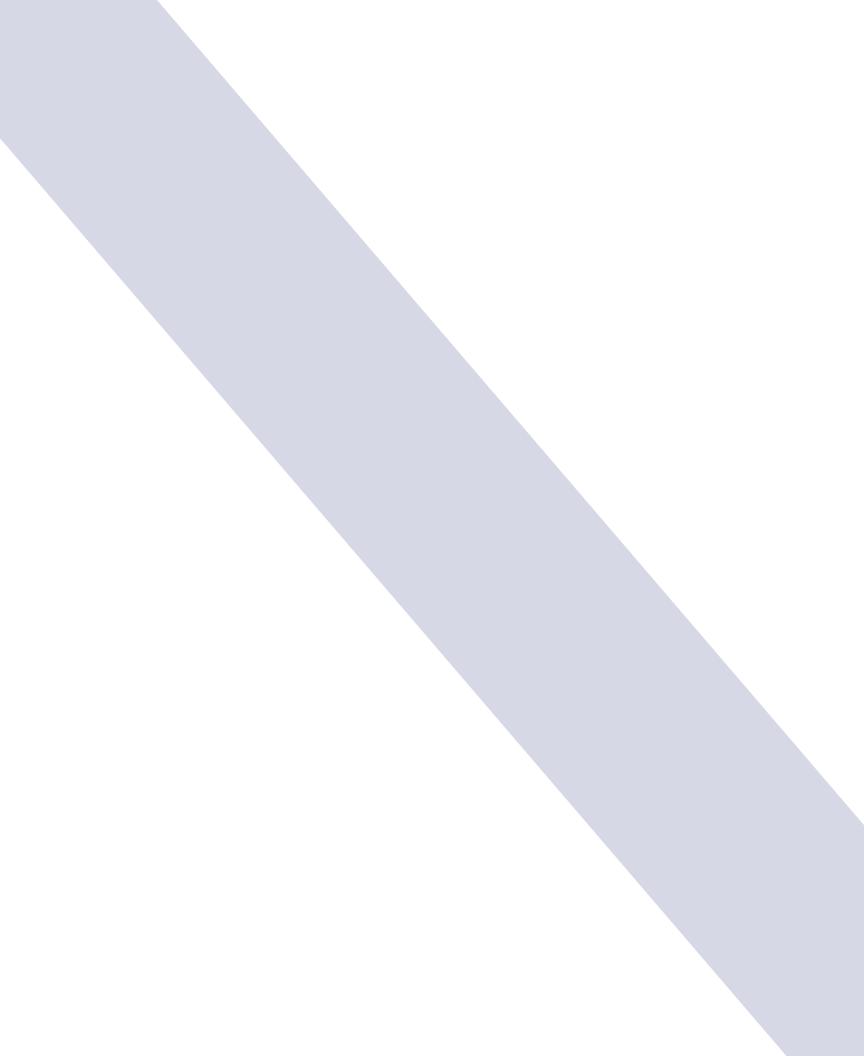
Marketing Algorithm Based on Social Media

Optimization Software

Chematica:Chemical Networks for Risk Assessment and Management

Motorized Software-Controlled Calibrator for Acoustic Probes

Integrated Scheduling Software



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1800 Sherman Avenue, Suite 504 Evanston, IL 60201