

ESAF Systems General Security Access Form

Instructions

Applicant or Proxy

- The fields and check boxes on this form may be filled with Adobe Acrobat: <http://get.adobe.com/reader>
- Complete section A (**required fields are marked with ***) and indicate Request Type
- Indicate an existing user to mirror OR select (or click) individual options in sections B–H (pgs. 2 - 8)
**Please note that this option will copy the other user's access exactly for items in each section.
 Any existing access will be replaced with this new security.*
- Print a hard copy with applicant name, have applicant sign and date the Required Approvals section (pg. 8)
- Route completed form to applicant's department head for signatures.

Security Approvers

- Review requested access
- Print your name, sign and date the appropriate area in the Required Approvals section (pg. 8)
- Enter an 'X' in the Request Approved or Request Denied box
- Route the form to the approver for the next area where access has been requested. If no additional approval is required, route the form to: foitsecurity@northwestern.edu

SECTION A	
*Date	
*NetID	
*Name (Last, First MI)	
*HRIS EMPLID	
*NU Email	
*Department	
Title	
Work Phone	

REQUEST TYPE (Check all that apply)

- To **add** a user, select the appropriate box(es) below, then complete section(s) B–F.
 To **inactivate** a user, select the appropriate box(es) below, sign, and forward form to foitsecurity@northwestern.edu.
 To **modify** access, select box(es) below, then select add/delete as appropriate in section(s) B–F.

- | | | | |
|--|-------------------------------------|--|--|
| General Access (Section B) | <input type="checkbox"/> ADD | <input type="checkbox"/> INACTIVATE | <input type="checkbox"/> MODIFY |
| Workflow (Section C) | <input type="checkbox"/> ADD | <input type="checkbox"/> INACTIVATE | <input type="checkbox"/> MODIFY |
| ChartField Security / Row Level Security
(Section D) | <input type="checkbox"/> ADD | <input type="checkbox"/> INACTIVATE | <input type="checkbox"/> MODIFY |
| Facilities Management (Section E) | <input type="checkbox"/> ADD | <input type="checkbox"/> INACTIVATE | <input type="checkbox"/> MODIFY |
| Grants Management (Section F) | <input type="checkbox"/> ADD | <input type="checkbox"/> INACTIVATE | <input type="checkbox"/> MODIFY |
| NUPlans (Section G) | <input type="checkbox"/> ADD | <input type="checkbox"/> INACTIVATE | <input type="checkbox"/> MODIFY |

Is this a School or Department transfer? Yes No

(Please note all previous FFRA Security Access is removed for transferred users)

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SECTION B: GENERAL ACCESS

MODEL GENERAL ACCESS AFTER EXISTING USER (optional)

Print Name:

NetID:

If NOT mirroring another user, enter an 'X' in the box to the left of each appropriate access option in sections B–F.

A
D
D

D
E
L

GENERAL ACCESS

- | | | | |
|--------------------------|--------------------------|---------------------------------|--|
| <input type="checkbox"/> | <input type="checkbox"/> | Employee Self Service | <p>Basic user inquiry access provisioned to every Northwestern Employee. Overview of access available at Role Descriptions webpage.</p> <ul style="list-style-type: none"> - No Workflow Approval - Can self-certify Expense Reports |
| <hr/> | | | |
| <input type="checkbox"/> | <input type="checkbox"/> | School/Department Staff | <p>Inquiry access for purchasing, accounts payable, and accounts receivable, in addition to basic user inquiry access. Overview of access available at Role Descriptions webpage.</p> <ul style="list-style-type: none"> - Optional: Workflow Approval – complete Workflow Approval Access on page 3 - Reporting: To view Cognos Reports – complete ChartField Security Access on page 2 |
| <hr/> | | | |
| <input type="checkbox"/> | <input type="checkbox"/> | Salary Access | <p>Access to drilldown into GL008 Cognos Report – allow processing time for HR approval</p> <ul style="list-style-type: none"> - Requires HRS101 Training - Access applies to all assigned ChartField values. Complete ChartField Security Access on page 3. |
| <hr/> | | | |
| <input type="checkbox"/> | <input type="checkbox"/> | Supplier Contract Administrator | <p>Access to participate in supplier contract process</p> |
| <hr/> | | | |
| <input type="checkbox"/> | <input type="checkbox"/> | SES Tuition Encumbrance | <p>Access to Student Enterprise System tuition encumbrance data</p> <ul style="list-style-type: none"> - Access applies to all assigned ChartField values. Complete ChartField Security Access on page 3. |
| <hr/> | | | |
| <input type="checkbox"/> | <input type="checkbox"/> | ChartField Manager Entry | <p>Access to enter and update managers, reviewers and attributes for ChartField (CF) values; assigned CF managers automatically receive Row Level Security access to the CF. Detailed description at Role Descriptions webpage.</p> |

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SECTION C: WORKFLOW

MODEL WORKFLOW ACCESS AFTER EXISTING USER (optional)

Print Name:

NetID:

Workflow approval provides the ability to approve expense reports, requisitions, payment requests and journals for the specified department or project. Budget Approval provides the ability to approve budget journals of any amount for the specified department.

You may specify a tree node to grant approval for multiple departments. The Department Tree Mapping reference document defines the available tree nodes and underlying departments.

Specifying a Dept Approval Level or Project Approval grants Expense Approval, Requisition & PO Approval, Payment Request Approval and Journal Approval roles.

Specifying Dept Budget Approval grants the Budget Journal Approval role.

Specifying ChartField Request Approver grants the PG-GL-Field Request Approver role.

Specify Departments and/or Department Tree Nodes

Dept Approval, Level 1 (\$0-\$1,499)	<input type="checkbox"/> Add <input type="checkbox"/> Remove
Dept Approval, Level 2 (\$1,500-\$4,999)	<input type="checkbox"/> Add <input type="checkbox"/> Remove
Dept Approval, Level 3 (\$5,000 and up)	<input type="checkbox"/> Add <input type="checkbox"/> Remove
Dept Budget Approval	<input type="checkbox"/> Add <input type="checkbox"/> Remove

Projects

Project Pre-Approval	<input type="checkbox"/> Add <input type="checkbox"/> Remove
	<input type="checkbox"/> Add <input type="checkbox"/> Remove
	<input type="checkbox"/> Add <input type="checkbox"/> Remove

Specify Departments, Department Tree Nodes and/or DeptID Range

ChartField Request Approver	<input type="checkbox"/> Add <input type="checkbox"/> Remove
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SECTION D: CHARTFIELD SECURITY ACCESS (ROW LEVEL SECURITY)

MODEL RLS ACCESS AFTER EXISTING USER (optional)

Print Name:

NetID:

Row Level Security indicates the data that you will be able to view online and in reports. General ledger, Budget ledger, and Grants information are limited by your row level security access.

You may specify a tree node to grant access for multiple departments. The Department Tree Mapping reference document defines the available tree nodes and underlying departments.

Only indicate project access for projects that are not owned by the departments that you have listed below. Department access automatically grants access to all projects owned by those departments. The Dean's Office that owns any projects specified will be contacted for approval prior to granting access.

Specify Departments or Department Tree Nodes	<input type="checkbox"/> Add <input type="checkbox"/> Remove
	<input type="checkbox"/> Add <input type="checkbox"/> Remove
	<input type="checkbox"/> Add <input type="checkbox"/> Remove
Specify Projects	<input type="checkbox"/> Add <input type="checkbox"/> Remove
	<input type="checkbox"/> Add <input type="checkbox"/> Remove
	<input type="checkbox"/> Add <input type="checkbox"/> Remove

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SECTION E: FACILITIES ACCESS (PLEASE CHOOSE ONLY ONE ROLE)

- Roles with Asterisk (*) includes Facilities Connect Service Request Workflow Approval –if Workflow is needed, please fill out Workflow section below with appropriate Departments or Tree Nodes

Model Facilities Connect Access after Existing User

Print Name:

NetID:

A D D	D E L	FACILITIES CONNECT ROLES – SPACE MODULE
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- | | | | |
|--------------------------|--------------------------|--------------------------------|---|
| <input type="checkbox"/> | <input type="checkbox"/> | Read Only* | View only access to space information |
| <input type="checkbox"/> | <input type="checkbox"/> | Department Data Steward* | Edit and verify space information details, approve department assignment change requests and review the Space Validation process

*There can only be one Department Data Steward per unit/school. Facilities must approve this role assignment. Send this completed form to facilities.connect@northwestern.edu for approval prior to sending to FOIT. Specify NUFinancials DeptID(s) in Department Data Administrator/Steward section below. |
| <input type="checkbox"/> | <input type="checkbox"/> | Department Data Administrator* | Edit and verify space information details, complete the yearly Space Validation process
*Specify NUFinancials DeptID(s) in Department Data Administrator/Steward section below. |

***Department Data Administrator/Steward** – specify DeptID(s); attach a separate list if needed

Specify Departments		<input type="checkbox"/> Add <input type="checkbox"/> Remove
		<input type="checkbox"/> Add <input type="checkbox"/> Remove
		<input type="checkbox"/> Add <input type="checkbox"/> Remove

A D D	D E L	FACILITIES CONNECT ROLES – OPERATIONS AND MAINTENANCE, CAPITAL PROJECTS MODULES
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- | | | | |
|--------------------------|--------------------------|---|--|
| <input type="checkbox"/> | <input type="checkbox"/> | O&M – General Requestor | Create and inquire on Facilities Service Requests (All Users Have this Role by Default; Only choose if removing another Role from a user and adding no others.) |
| <input type="checkbox"/> | <input type="checkbox"/> | O&M – General Requestor – Approver* | Create and inquire on Facilities Service Requests and are able to approve Facilities requests against designated Chart Strings |
| <input type="checkbox"/> | <input type="checkbox"/> | O&M - Department Financial Administrator* | View all service requests, work tasks and associated financial information. View capital project information for assigned projects. |

WORKFLOW (PROVIDES ACCESS TO APPROVE FACILITIES CONNECT SERVICE REQUESTS)

Model Facilities Connect Access after Existing User:

Print Name:

NetID:

Specify NUFinancials Departments or Department Tree Nodes (for more than 3, please provide on separate sheet)		<input type="checkbox"/> Add <input type="checkbox"/> Remove
		<input type="checkbox"/> Add <input type="checkbox"/> Remove
		<input type="checkbox"/> Add <input type="checkbox"/> Remove

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SECTION F: GRANTS DEPARTMENT ROLES

MODEL GRANTS ROLES AFTER EXISTING USER (optional)

Print Name:

NetID:

Office for Sponsored Research (OSR) data contains confidential information. The technical, programmatic, and budgetary details of an individual proposal are confidential and the contents of a proposal may not be disclosed without the prior written approval of the proposal's PI. Any authorized university user may otherwise access proposal data elements such as the proposal title, amount requested, and period of performance without obtaining the PI's approval. Aggregate proposal data may be reported in accordance with the restrictions set forth in the remainder of this Agreement. Researcher social security numbers and employee IDs are confidential and may only be disclosed to units who are administering the proposal or award.

By signing this request you agree to the following provisions. You will not provide others with unlimited access to OSR data without prior approval of OSR. You will not seek personal benefit or permit others to benefit personally from information contained in any OSR dataset. You will not divulge the contents of any record or report to any person except in the conduct of your work assignment and in accordance with University and departmental policies. You acknowledge OSR as the data collection source when making any permitted disclosures of OSR data. You understand that OSR provides all data without warranty. You are obligated to inform OSR of any problems detected in the OSR data as soon as possible. You will not include or cause to be included in any record or report a false, inaccurate, or misleading entry. You will not divulge IDs or passwords to anyone.

CERES General Access

Research Administrator	<input type="checkbox"/> Add <input type="checkbox"/> Remove	Department Approver (Please coordinate additional delegation approval with Sponsored Research)	<input type="checkbox"/> Add <input type="checkbox"/> Remove
		Research Portal Sponsored Salary Access	<input type="checkbox"/> Add <input type="checkbox"/> Remove

CERES Report Access

COGNOS: Report User (CAFÉ_IE_RESEARCH_FACULTY)	<input type="checkbox"/> Add <input type="checkbox"/> Remove	Cognos Self Service	<input type="checkbox"/> Add <input type="checkbox"/> Remove
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List NUFinancials DeptID, add a separate list if needed.

Specify DeptID(s)	<input type="checkbox"/> Add <input type="checkbox"/> Remove	
Specify DeptID(s)	<input type="checkbox"/> Add <input type="checkbox"/> Remove	
Specify DeptID(s)	<input type="checkbox"/> Add <input type="checkbox"/> Remove	

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SECTION G: NUPlans

MODEL NUPLANS ROLES AFTER EXISTING USER (optional)

Print Name:

NetID:

NUPlans is specialized software used for annual budget preparation and forecasting process. The two separate systems, *Budget* and *Forecasting*, is built on a Cognos TM1 platform that will provide for better planning and analysis of budget data as well as security and workflow options.

NUPlans Budgeting Roles

- | | | | | | | | |
|-------------|---|-----------------------|---|------------------|---|------------------|---|
| Prep File | <input type="checkbox"/> Add
<input type="checkbox"/> Remove | General | <input type="checkbox"/> Add
<input type="checkbox"/> Remove | Planner | <input type="checkbox"/> Add
<input type="checkbox"/> Remove | Grants | <input type="checkbox"/> Add
<input type="checkbox"/> Remove |
| Commitments | <input type="checkbox"/> Add
<input type="checkbox"/> Remove | Reports | <input type="checkbox"/> Add
<input type="checkbox"/> Remove | Create Version 2 | <input type="checkbox"/> Add
<input type="checkbox"/> Remove | Create Version 3 | <input type="checkbox"/> Add
<input type="checkbox"/> Remove |
| Salary | <input type="checkbox"/> Add
<input type="checkbox"/> Remove | Salary - Confidential | <input type="checkbox"/> Add
<input type="checkbox"/> Remove | | | | |

NUPlans Budgeting Data Access

Budget Grouping	Access (Edit, Submit, View)	Department	Project	Excluded Project Flag	Salary Account Access

NUPlans Forecasting Roles

- | | | | | | | | |
|-----------|---|----------|---|--------|---|---------|---|
| Prep File | <input type="checkbox"/> Add
<input type="checkbox"/> Remove | Forecast | <input type="checkbox"/> Add
<input type="checkbox"/> Remove | Grants | <input type="checkbox"/> Add
<input type="checkbox"/> Remove | Tuition | <input type="checkbox"/> Add
<input type="checkbox"/> Remove |
| Reports | <input type="checkbox"/> Add
<input type="checkbox"/> Remove | Salary | <input type="checkbox"/> Add
<input type="checkbox"/> Remove | | | | |

NUPlans Forecasting Data Access

Budget Grouping	Access (Read or Write)	Department	Project	Excluded Project Flag

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REQUIRED APPROVALS

Financial system users at all levels of the organization are charged with responsibility for ensuring that prescribed guidelines and policies are followed when conducting business at the University. The policies outlined in Standards for Business Conduct include confidentiality of University financial data, proper accounting and use of University assets, in addition to policies on purchasing, travel and entertainment, and other business activities. See: <http://policies.northwestern.edu/docs/StandardsforBusinessConduct.pdf>.

By submitting this request, the user and department head affirm that they are aware of and will comply with University business guidelines and policies referenced in the Standards for Business Conduct and all other applicable policies. The user also affirms compliance with all NUIT policies. Access may be changed or revoked at any time. NUIT policies are available for review at <http://www.it.northwestern.edu/policies>.

Dean's office signature authorizes all access including cross department security.

REQUIRED APPROVALS

Applicant

Print Name:

Email:

Signature:

Date:

Applicant's Department Head

Print Name:

NetID:

Request Approved

Email:

Request Denied

Signature:

Date:

By signing this form, the Dean's Office authorizes *all* access indicated on the request form. If you are authorizing access to departments or projects that are controlled by other Deans' offices, please validate requests with those entities before authorizing access with your signature.

Dean's Office

Print Name:

NetID:

Request Approved

Email:

Request Denied

Signature:

Date:

FOIT Security Processing

Date Received:

Date Completed:

Completed By: